

# Small Estate Statement and Indemnity Form

Company or Trust in which investment is held			
Full Name(s) of Registered Holding			
Registered Address	Street / PO Box		
	Suburb	State	Postcode
Security holder Reference Number (SRN) or Holder Identification Number (HIN)			

## Small Estate Statement and Indemnity

Print in CAPITALS  
Description of securities (Shares, Options etc.)  Number of securities held

I/we do solemnly and sincerely declare I am/we are the legal representative(s) for the above deceased estate.

### Executor or Administrator 1

Surname  Given Name(s)

### Executor or Administrator 2

Surname  Given Name(s)

### Executor or Administrator 3

Surname  Given Name(s)

I/we request the security issuer to permit transmission of the securities detailed above to ourselves as legal representative(s) of the estate without requiring a Grant of Probate or Letters of Administration or reseal of grant of probate to be obtained in the state of: (Australian State or Territory where the securities are registered).

In consideration of the security issuer registering the securities in my/our name(s) I/we hereby covenant to indemnify and forever keep indemnified the (security issuer), the directors and trustees of the security issuer, the security registrar and the directors and officers of the security registrar from and against all losses in respect thereof and all claims, actions, proceedings, demands, costs and expenses whatsoever which may be made or brought against them by reason of compliance with this request.

Contact Surname  Given Name(s)   
Telephone (BH)  Telephone (AH)

I/We the registered holder(s) and the undersigned seller(s) for the above consideration do hereby transfer to the above name(s) hereinafter called the Buyer(s) the securities as specified above standing in my/our name(s) in the books of the above named Company, subject to the several conditions on which I/we held the same at the time of signing hereof and I/we the Buyer(s) do hereby agree to accept the said securities to the same conditions. I/We have not received any notice of revocation of the Power of Attorney by death of the grantor or otherwise, under which this transfer is signed.

## Sign Here – This section must be signed and witnessed for your instructions to be executed

I/we authorise you to act in accordance with my/our instructions set out above. I/we acknowledge that these instructions supersede and have priority over all previous instructions in respect to my/our securities.

### Executor or Administrator 1

Signature (Please circle)

Witness Signature

### Executor or Administrator 2

Signature (Please circle)

Witness Signature

### Executor or Administrator 3

Signature (Please circle)

Witness Signature

The witness(es) certifies that the person(s) who has/have signed this statement is/are known to them and has/have signed in the presence of the witness with their normal signature(s).

**Executors/Administrators:** When the holding is in the name of an Estate, all Executors/Administrators are required to sign.

**Power of Attorney:** To sign as Power of Attorney. Attach a certified photocopy of the Power of Attorney to this form including identification.

**NOTE: Australian** The Corporations Act 2001 imposes severe penalties for making a false statement (\$10,000 or 2 years imprisonment or both) or failing to ensure a statement is not false or misleading (\$5,000 or 1 year imprisonment or both). **Overseas** Please complete this statutory declaration in accordance with the laws of the country in which it is declared and ensure it is legally witnessed.